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## From the desk. To the bench. To the bedside.



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## A comparison of the levels of earthquake awareness and preparedness in a high risk and low risk barangay

Janine Alyanna O. See, MD; Lorraine C. Rivera, MD; Iñigo Teodoro G. Santos, MD; Kristin Janina C. Santos, MD; Maebellene Grace R. Santos, MD; Niña Angelieksa V. Sarmiento, Randulfo Erald G. Sese, MD; Shannon Petrina Sie, MD; Bernadine N. Urbano, MD; Jennifer M. Nailes, MD, MSPH; and Jose D. Quebral, MD

#### Abstract

**Introduction** Metro Manila is at risk from "the big one", a magnitude 7.2 earthquake caused by the movement of the West Valley Fault, thus awareness and preparedness of the people are very important. The study compared the levels of earthquake awareness and preparedness of households in a high-risk area and a low risk area.

**Methods** This was a cross-sectional study among 376 households each from a high- and a low-risk barangay in Metro Manila using a self-administered household-based survey questionnaire consisting of questions on awareness and preparedness. The prevalence of households classified as aware and well-prepared was computed; the significance of differences between the high- and low risk barangays was determined through Fisher's exact test.

**Results** There were fewer households classified as aware in the high-risk barangay compared with the low risk barangay, but the difference was not significant (PR = 0.98, 95% CI 0.84, 1.01, p = 0.078, Fisher's exact test). Less than half of households were classified as well-prepared in both high- and low risk barangays (49.7 vs 46.5%) and the difference was not significant (PR = 1.07, 95% CI 0.92, 1.24, p = 0.422, Fisher's exact test). Television was the most common source of information in both barangays. Households in the high-risk barangay were more likely to be well-prepared when a member was at least a high school graduate (PR = 2.54, 95% CI 1.24, 5.22, p = 0.001, Fisher's exact test).

**Conclusion** There was no difference in the levels of awareness and preparedness between high and low risk barangays. Television was the most common source of information in both high and low risk barangays. The presence of at least one high school graduate in the household from a high-risk barangay was associated with preparedness but not awareness.

Keywords: Earthquake awareness, earthquake preparedness, Valley Fault System, West Valley Fault

Metro Manila is bound on the east by the West Valley Fault and on the west by the Manila

Correspondence:

Trench in Manila Bay, making the area highly vulnerable to "the big one", a magnitude 7.2 earthquake that could strike anytime, affecting a large area of Luzon including Metro Manila. Based on the Metro Manila Earthquake Impact Reduction Study (MMEIRS), approximately 40% of the residential buildings in Metro Manila will collapse, causing 34,000 deaths and 114,000 injuries.<sup>1</sup> The Philippine Institute of Volcanology and Seismology (PHIVOLCS) has released an earthquake preparedness guide that

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highlights the necessary steps to be undertaken before, during, and after an earthquake.<sup>2</sup> These, along with the efforts of local government units in information dissemination, aim to raise awareness and encourage proactivity in local communities. The problem is imparting knowledge to the people who think that they are safe until an emergency or disaster strikes. Thus, the researchers proposed to investigate the levels of awareness and preparedness of the local community.

The results of the study may add to the existing body of knowledge on the topic which would benefit the academe, the local community, as well as the government agencies tasked to implement programs for raising awareness and increasing responsiveness to such disasters. Results of this study may be used to map out areas that need more aggressive implementation of government programs, such as communities with a higher risk due to their location near a fault line. Furthermore, the findings may be used by government agencies to improve on the existing programs and methods of information dissemination, in order to more effectively reach and create an impact on the target audience.

The study compared the levels of earthquake awareness and preparedness of households in a highrisk area and a low risk area. Specifically, the study aimed to determine sources of information about earthquake awareness and preparedness, and factors associated with household earthquake awareness and preparedness among high and low risk barangays.

#### Methods

The study employed a quantitative cross-sectional design to determine the level of earthquake awareness and preparedness in a high risk and low risk barangay in Metro Manila through a self-administered householdbased survey. High-risk areas were identified as those within 10 km from a fault zone.<sup>3</sup> By tracing the fault line and different mapping methods, 81 barangays were identified to be traversed by the fault system in Metro Manila and its nearby provinces. Barangay Batasan Hills in Quezon City was identified to be a high-risk area being 436 m away from the fault line, with the largest population that can possibly be affected by an earthquake. Barangay Gen. T. De Leon in Valenzuela City is 10.8 km away from the West Valley Fault as mapped out in 2013.<sup>4</sup> Thus, it is considered as a low risk area for earthquakes.

All households in the two barangays were eligible for inclusion. A literate adult was required to be present at the time of the survey. Informal settlers who had no legal claim or were not registered in the barangay were excluded. A sample size of 376 per barangay was computed based on the Z-value for alpha error of 1.96, a desired precision of 0.05 at 95% confidence interval. Since this study called for the participation of two barangays, the total sample size was 752 households. Convenience sampling was employed in selecting the households that participated in the study. Demographic data on the households were collected, including information about the members of the household, their corresponding ages, sex and highest educational attainment, household income and previous exposure to earthquake emergencies. The study was approved by the Ethics Review Committee. Permission was obtained from both barangays, and informed consent was obtained from all the respondents.

Data on awareness and preparedness were collected through a 28-item questionnaire adapted from the Department of Education's (DepEd) and Ardalan's survey tools and was reviewed by an expert.<sup>5,6</sup> Ardalan's questionnaire had a Cronbach's alpha of 0.7 and CVIs ranging from 0.80 to 0.100.<sup>6</sup> Four questions in the study questionnaire were taken from Ardalan, and the remaining 24 questions came from the DepEd tool.<sup>5,6</sup> Nineteen of the questions were answerable by 'yes' or 'no', eight required a short descriptive response, and the remaining one was a checklist of items that should be included an emergency kit. The questionnaire consisted of five parts: household characteristics, sources of information, before earthquake, during earthquake, and after earthquake and first shake. The items included under the earthquake categories were classified as either awareness-related or preparednessrelated questions. Ten questions measured earthquake awareness while 18 measured earthquake preparedness. The items reflect the knowledge of households on what to do in case of an earthquake, as well as specific preparations for such an event. A respondent was considered to be aware if he/she correctly answered at least 8 out of 10 questions on awareness and wellprepared if he/she correctly answered at least 14 out of 18 questions on preparedness. Those who got less than 75% correct answers were classified as not aware and poorly prepared, respectively. The questionnaire was self-administered and answered by an adult member of the household.

Data were encoded using Microsoft Excel and analyzed using IBM Statistical Package for the Social Sciences (SPSS) version 23 (IBM Corporation, NY, USA). Difference in population proportions of those who are aware and not aware, and those who are wellprepared and poorly prepared was determined using the Fisher's exact test. Effect size was then determined using ratio, which allowed the researchers to determine the level of association between the variables. Both statistical analyses were employed for finding the difference in population proportions and magnitude of association between the dependent variables and other factors such as households with children and/or senior citizens, having at least a high school graduate in the household, and previous experience of an emergency due to earthquakes.

#### Results

A total of 752 responses were recorded - 376 each from Barangays Batasan Hills (high risk) and Gen. T. De Leon (low risk). The ratio of males to females was 1:1 in both barangays, 60% of the respondents were in the 18 to 59-year-old bracket and one-third were less than 18 years in both areas. Three of four households in both barangays had occupants below 18 years. A third of respondents in both barangays were high school graduates. The annual household income was not more than PHP 59,000 in 44 and 38% in the high and low risk barangays, respectively. Television was the source of information in more than 90% of households in both barangays. Two-thirds of households in Barangay Gen. T. De Leon had the internet as the second most common source of information on earthquake awareness and preparedness. Half of the households from both areas relied on radio as a source of information. Around 30% of households had a previous experience of an earthquake in both barangays. As shown in Table 1, the demographic characteristics of the two barangays are similar.

The ratio of households classified as aware to not aware was 2:1 in the high-risk barangay and 3:1 in the low risk barangay. There were fewer households classified as aware in the high-risk barangay compared with the low risk barangay, but the difference was not significant (PR = 0.98, 95% CI 0.84, 1.01, p = 0.078, Fisher's exact test). The mean awareness score in the high-risk barangay was lower (8.1 vs 8.4) as shown in Table 2. The ratio of households classified as wellprepared to poorly prepared was 1:1 in the high-risk barangay. Less than half of households were classified as well-prepared in both barangays; there were more well-prepared households in the high-risk barangay compared with the low risk barangay (49.7 vs 46.5%) but the difference was not significant (PR = 1.07, 95% CI 0.92, 1.24, p = 0.422, Fisher's exact test). The mean preparedness scores in the two barangays were similar (12.9 vs 13.0) as shown in Table 2.

**Table 1.** Demographic characteristics of individual members in 376

 households each in the high risk and low risk barangays.

Demographic Characteristic n (%)	High risk (n = 1860)	Low risk (n = 1877)
Sex		
Male	946 (50.9)	913 (48.6)
Female	914 (49.1)	964 (51.4)
Age (year)		
< 18	638 (34.3)	660 (35.2)
18 - 59	1083 (58.2)	1071 (57.1)
> 59	113 (6.1)	133 (7.1)
Not reported	26 (1.4)	13 (0.7)
Households with occupants		
below 18 years	284 (75.5)	294 (78.2)
Highest educational attainment		
Elementary & below	428 (23.0)	459 (24.4)
Elementary	262 (14.1)	187 (9.9)
High School	583 (31.3)	540 (28.8)
College, postgraduate	359 (19.3)	490 (26.1)
No formal education	35 (1.9)	17 (0.9)
Not reported	193 (10.4)	184 (9.8)
Annual income (PHP)		
$\leq$ 39,000	123 (32.7)	98 (26.1)
40,000 - 59,000	43 (11.4)	46 (12.2)
60,000 - 99,000	50 (13.3)	48 (12.8)
100,000 - 249,000	106 (28.2)	93 (24.7)
$\geq$ 250,000	36 (9.6)	62 (16.5)
Not reported	18 (4.8)	29 (7.7)
Source of information		
Print media	134 (35.7)	136 (36.2)
Internet	21 (5.6)	239 (63.6)
Radio	194 (51.6)	196 (52.1)
Television	355 (94.4)	347 (92.3)
Government campaigns	167 (44.4)	175 (46.5)
School/Office	157 (41.8)	166 (44.1)
Friends	125 (33.2)	127 (33.8)
Previous experience of		
earthquake emergency	119 (31.7)	127 (33.8)

#### Levels of earthquake awareness and preparedness in a high risk and low risk barangay

Risk level	Awareness, n (%)		Preparedness, n (%)			
	Aware	Not aware	Mean score	Well-prepared	Poorly prepared	Mean score
High	254 (67.6)	122 (32.4)	8.1	187 (49.7)	189 (50.3)	12.9
Low	277 (73.7)	99 (26.3)	8.4	175 (46.5)	201 (53.4)	13.0
PR	0.98 (95% C	CI 0.84, 1.01), p	= 0.078*	1.07 (95% CI 0.9	2, 1.24), p = 0.422*	

Table 2. Percentage of aware and well-prepared households in the high- and low risk barangays.

\* Fisher's exact test

PR - Prevalence (risk) ratio

Households with at least a high school graduate in the high-risk barangay had a higher mean awareness score compared with households without at least a high school graduate (8.2 vs 7.3) as seen in Table 3. There were no differences in the mean awareness scores when the presence of senior members or children, and previous experience were considered. The mean preparedness scores were higher in households with at least a high school graduate both high and low risk barangays (13.1 vs 11.1 and 13.1 vs 11.9, respectively) as seen in Table 3. There were no differences in the mean preparedness scores when the presence of senior members or children, and previous experience were no differences in the mean preparedness scores when the presence of senior members or children, and previous experience were considered.

Table 3. Comparison of mean awareness and preparedness so	ores
in different variables.	

Factor	Awareness score	Preparedness score
Presence of children/		
senior citizens		
High risk		
With	8.1	13.0
Without	8.0	12.7
Low risk		
With	8.4	13.0
Without	8.2	12.8
Level of education		
High risk		
At least HS graduate	8.2	13.1
No HS graduate	7.3	11.1
Low risk		
At least HS graduate	8.4	13.1
No HS graduate	8.4	11.9
Previous experience		
High risk		
With	8.1	12.9
Without	8.1	12.9
Low risk		
With	8.3	13.0
Without	8.4	12.9

In both high and low risk barangays, 80 to 90% of households in both aware and not aware, and wellprepared and poorly prepared groups had children and/or senior members. The presence of children and/or senior members was not a significant factor in the percentage of households in awareness and preparedness in both high and low risk barangays. In both high and low risk barangays, 80 to 95% of households in both aware and not aware, and wellprepared and poorly prepared groups had at least a high school graduate. As shown in Table 4, households in the high-risk barangay were 2  $\frac{1}{2}$  times more likely to be well-prepared when a member was at least a high school graduate (PR = 2.54, 95% CI 1.24, 5.22, p = 0.001, Fisher's exact test). In the low risk barangay, households with at least a high school graduate were 1  $\frac{1}{2}$  times more likely to be well-prepared (PR = 1.52, 95% CI 0.78, 2.97, p = 0.247, Fisher's exact test). The presence of at least a high school graduate was not a significant factor in the proportion of households in awareness in both high and low risk barangays. Previous experience of an earthquake emergency was not a significant factor in the proportion of households in awareness and preparedness in both high and low risk barangays.

A lower percentage of respondents from the highrisk barangay correctly answered 8 of 10 questions on awareness. The highest percentage of correct answers were the items on awareness of the danger of returning to the house due to the possible effects of aftershocks (94.1 vs 88.8%), credible sources of information (90.7 vs 92.6%) and duck-cover and hold (88.8 vs 90.4%) for the high and low risk barangays, respectively. Fewer respondents from the high-risk barangay were aware of emergency contact numbers of government agencies (68.1 vs 78.5%).

A higher percentage of respondents from the highrisk barangay correctly answered 8 of 18 questions on preparedness and a higher percentage of respondents

	Awareness n (%)		Prepared n (%)	ness
	Aware	Not aware	Well-prepared	Poorly prepared
High risk				
With high school graduate ( $n = 329$ )	227 (69.0)	102 (31.0)	173 (52.6)	156 (47.4)
No high school graduate $(n = 29)$	15 (51.7)	14 (48.3)	6 (20.7)	23 (79.3)
PR	1.33 (95% 0.93, 1.91), p = 0.095		2.54 (95% CI 1.24, 5.22), p = 0.001	
Low risk				
With high school graduate $(n = 340)$	250 (73.5)	90 (26.5)	163 (47.9)	177 (52.1)
No high school graduate $(n = 19)$	16 (84.2)	3 (15.8)	6 (31.6)	13 (68.4)
PR	0.87 (95% CI 0	.71, 1.07), p = 0.455*	1.52 (95% CI 0.78,	2.97), p = 0.247*

Table 4. Association between having one member who is at least a high school graduate and levels of awareness and preparedness.

\* Fisher's exact test

PR – Prevalence (risk) ratio

from the low risk barangay correctly answered nine other questions. The highest percentage of correctly answered items in the high-risk barangay were knowledge of contact numbers of other household members (91.2%), effects of aftershocks such as fire and further damage (81.9%), and overhead shelves/ cabinets free of heavy objects (81.4%), while in the low risk barangay, these were: knowledge of contact numbers of other household members (88.3%), having stored food and drinking water (84.8%), initial response (84.0%), and overhead shelves/cabinets free of heavy objects (83.0%). The most frequently mentioned items in the emergency kit in both high and low risk barangays were the first aid kit (91.7 vs 96.1%) and flashlight (90.9 vs 90.5%). Water was the third most frequently mentioned item in the high-risk barangay (80.4 vs 73.8%) while it was canned food in the low risk barangay (77.0 vs 67.5%). The can opener was the item least mentioned as part of the emergency kit in both high and low risk barangays (49.1 vs 47.7%). Less than half of respondents in the high-risk barangay mentioned a battery-operated radio as part of the emergency kit (49.4 vs 55.1%).

#### Discussion

Despite one barangay having a higher risk than the other, the study found that there was no significant difference in the percentage of households classified as aware and well-prepared in the two barangays. The mean awareness and preparedness scores were slightly higher in the low risk barangay. As no significant difference in the levels of awareness and preparedness was found between high- and low risk barangays, this may imply that the government's efforts in raising awareness and preparedness may not be concentrated only in the high risk areas but have been evenly distributed regardless of geographical risk. This means that communities in high-risk and low risk areas are almost equally equipped with the knowledge about earthquakes, and these campaigns can encourage households to take the necessary preparations in anticipation of disasters. The researchers note that government must intensify its efforts since less than 70% of households were aware and less than half were well-prepared in both high- and low risk barangays.

The results showed that television plays a significant role in disseminating information on earthquakes. As television is an outlet for news networks and government agencies, most house-holds were assumed to receive reliable information regarding earthquakes. In Barangay Batasan Hills, radio was the next most common information source. Households from Barangay Gen. T. De Leon had the internet as one of its top sources of information. These are important to note since information sources are significant factors in household earthquake preparedness.<sup>7</sup> They may be classified into formal agency communications and informal social media.

Formal agency sources can highlight credibility. Meanwhile, informal social media sources provide quicker means of communication, but are prone to passing along incorrect information. A previous study found that both formal and informal information sources can create an impact on raising household preparedness.<sup>8</sup>

The presence of many dependents in the family, such as children and elderly, reflects greater obstacles encountered when responding to an emergency.<sup>9</sup> This makes them more vulnerable to disaster-related consequences. This study found no association between households having children and/or senior citizens in the family with awareness and preparedness in both high and low risk barangays.

The researchers explored the possible role of having at least a high school graduate in the household in improving awareness and preparedness. A previous study found that disaster-related training is most effective for individuals with a high educational attainment.<sup>10</sup> Present study shows a significant association with the level of preparedness based on educational level. However, no significant association is found with earthquake awareness. This is congruent with other research findings that showed significant association between the levels of disaster preparedness and respondents with at least a secondary education.<sup>10</sup> As the ability to process abstract thinking and better learning skills are associated with a higher level of education, households may benefit from educational training on disaster.

A previous study found a strong association between previous experience and awareness and preparedness, which may be explained by individuals recalling prior serious economical and physical damage, and fear of similar disastrous events in the future.<sup>11</sup> Present findings did not show any significant association between having a previous earthquake experience and awareness or preparedness in both high- and low risk barangays. This is consistent with a Turkish study which showed the impact of the earthquake being forgotten over time.<sup>12</sup> It is essential to know the short, medium- and long-term effects of disasters on societies.

Recent efforts of the government in promoting disaster preparedness may be one of the factors affecting the results obtained in the study. According to the National Disaster Risk Reduction and Management Plan for 2011-2028, the goal of disaster preparedness is to establish and strengthen the capacity of communities to anticipate, cope, and recover from the negative impacts of disasters. Hence, standard programs of instruction and training modules are to be implemented for communities to have an increased understanding of and application of risk-reduction measures.<sup>14</sup> In addition, an earthquake preparedness guide was prepared by PHIVOLCS and was launched to the public. This guide includes information about what to do before, during, and after an earthquake.<sup>2</sup>

The present study concluded that there was no significant difference in the levels of awareness and preparedness for earthquakes between the high-risk and low risk barangays. Television was the most common source of information in both high- and low risk barangays. The presence of at least a high school graduate in the household was associated with being prepared but not with awareness. The presence of children and/or seniors, and previous experience of an earthquake were not associated with awareness and preparedness in both high- and low risk barangays.

The scope of the study included households geographically located within the high-risk and low risk barangays at the time of data collection. The duration of residency of the occupants of the household was not considered in this study. Perceived risk and the association of household income were not investigated. Another limitation encountered in the study was the accessibility of the respondents in terms of location within the barangay. Based on the results of the study, the researchers recommend that perceived risk be included in future studies. Demographic data such as number of occupants in a household, total yearly household income, and sex may also be considered as independent variables in future studies.<sup>15</sup> Obtaining a larger sample size and using a probability sampling method may also increase the reliability of the study.

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## A narrative analysis on stories of underage smoking initiation among public school children

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#### Abstract

**Introduction** Smoking is one of the most common preventable risk factors for disease and continues to be a burden on public health, especially in developing countries like the Philippines. This study aims to provide an understanding of underage Filipino smokers that is sensitive to the context within which they are found.

**Methods**: The narrative approach was used to understand events across time and in a way that gave meaning to the storied experience of the participants. Core story creation and emplotment were used to flesh out the narratives of smoking initiation. The stories of six residents of Barangay Doña Imelda were analyzed to understand the significance of smoking initiation in their context.

**Results**: The overarching narrative found was "putting meaning into time" and from this, three themes emerged: 1) time is meaningful when shared, 2) finding solace from the struggles of daily living, and 3) resisting oppressive structures. Using these insights, the discussion was grounded on anti-smoking campaigns such as the DOH Annual No Smoking Month, the Sin Tax Law, and the Nationwide Smoking Ban.

**Conclusion**: This paper concludes that a more proactive approach to smoking initiation is warranted by making meaningful alternative activities available to public school children.

Keywords: Smoking, underage, Filipino, narrative, qualitative

**S** moking initiation is most likely to occur before the age of 18.<sup>1-3</sup> At this age, adolescents are biologically more susceptible to dependence, prone to feelings of invulnerability, and the most likely to accumulate adverse effects of smoking throughout their lifetime.<sup>1,2,4-6</sup> This is relevant in the context of the

Philippine youth because adolescents from developing countries are more vulnerable to the financial, social, and disease burden of smoking.<sup>1</sup> Despite this, not much is known about the behavior, beliefs, practices, and motivation of the youth.<sup>7</sup> There is a need to contribute to the understanding of the significance of smoking initiation at this age because studies suggest that individuals who avoid smoking in adolescence are unlikely to initiate the practice later on in life.<sup>1</sup>

Within the global context, the efforts of the WHO towards preventing underage smoking initiation are aimed at the reduction of the disease burden of smoking.<sup>4</sup> This problem is situated within the wider discourse of health and poverty reduction.<sup>1</sup> In order to address this problem, health practitioners and policy

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makers must navigate between two different views of health. On one hand, the biomedical approach views smoking initiation as a product of a biological predisposition to initiate risky behavior and addiction, while the biopsychosocial approach sees smoking as a symptom of larger societal factors (i.e., poverty, capitalism).<sup>1,4,5,7,8</sup> Despite increasing intervention efforts, the tension between the two views fails to establish a contextual approach to research and prevent smoking initiation among the youth.<sup>9</sup> Thus, it continues to be a major public health concern especially in developing countries like the Philippines which remains the largest consumer of cigarettes in the world.<sup>1,10,11</sup>

This study employed a narrative framework to answer the question "What is the significance of smoking initiation in the lived experience of underage Filipino public school children embedded in the stories of residents of Barangay Doña Imelda?" This study aims to provide an understanding of underage Filipino smokers that is sensitive to the culture and context within which they are found.

Existing literature on underage smoking can be conceptually organized into material factors and relational factors that promote initiation of smoking. Underage smoking is closely tied to the youth's geographic location which often dictates the availability and cost of cigarettes, laws regulating smoking, and spending power.<sup>1</sup> However, more than cost, studies suggest that affordability is a larger influence on cigarette consumption; but in a country in which one-fifth of the population live below the poverty threshold, cigarettes cannot be considered affordable.<sup>1,12,13</sup> Despite this, the pattern of tobacco use among adolescents from low socioeconomic groups is consistently higher than those of the non-disadvantaged groups.<sup>5</sup> Initiation among the Filipino youth despite the lack of spending power may be explained by the ability to buy single sticks of cigarettes, the lax enforcement of tobacco sale to minors, or it may be pointing to the presence of influences stronger than affordability that promote smoking in low socioeconomic youth.<sup>14</sup> In this light, the lack of money and the stressors associated with belonging to the low socioeconomic class act as a driving factor instead of a deterrent to the propensity to smoke.<sup>8,14,15</sup>

Stressors associated with belonging to low socioeconomic class (i.e., day to day living, poor health) can interfere with children's ability to finish their education.<sup>16</sup> Studies suggest that lower educational attainment, poor academic performance, and lack of interest in studies are substantial promoters of the initiation of smoking.<sup>3,17-19</sup> Apart from the interruption of education, socioeconomic status dictates where education is attained. Being more likely to attend a school where someone in authority such as their teacher or director smokes in their presence promotes an onset of smoking in children and negatively affects their compliance to smoking regulations.<sup>3,20</sup>

Adolescence is a time of finding one's identity and although it is seen as a time to seek some independence, family is still a large influence on their actions.<sup>21</sup> The presence of family members who smoke does not only promote initiation of smoking but also influences earlier onset of smoking in adolescents.<sup>2,22</sup> This propagated a new wave of research proposing that initiation of smoking results from the genetic predisposition towards risky behavior.<sup>5</sup> Others argue instead that familial smoking behaviors are a result of shared environmental factors from living and interacting with each other.<sup>6</sup> However, more than mere exposure to similar environments, the feeling of acceptance from family such as when they are given the safe space to smoke at home or when their first cigarette is given by a member of the family are even stronger influences on the initiation of smoking.<sup>2,3,22</sup> On the other hand, the breakdown of these relationships, such as having a poor relationship with parents also influenced initiation of smoking in younger age groups but as a form of rebellion.<sup>3</sup>

A similar pattern of acceptance and rebellion is also found in adolescent peer groups. Multiple studies have determined a link between peer smoking, peer pressure, and initiation of smoking.<sup>5</sup> At this age, acceptance from friends may be a stronger influence than parents when it comes to smoking initiation.<sup>23</sup> Similarly, the lack of this social connection among hostile, depressive, or bullied students also poses as an influence on smoking initiation as a form of rebellion.<sup>24</sup>

Building on the foregoing literature, the authors critique the one-size-fits-all approach to understanding smoking initiation, which tends to neglect the specific context within which it occurs.<sup>2,8,9,22</sup> Past studies done in cultural groups have pointed out the possible roles of gender, family, and friends in smoking initiation but failed to explain the significance of these findings in relation to the group's lived experience.<sup>5,8</sup> Instead, the

authors contend that in understanding behavior such as smoking, there is a need for particularization of context in determining what really influences Filipino public school children to smoke. In the context of a developing country, the authors critique that the foregoing literature is insensitive to the issues of powers at play when understanding smoking initiation in the underprivileged youth.<sup>7</sup> Although some scholars recognize that the problem of smoking initiation presents uniquely in the context of the poor, research attempting to understand the phenomenon remains scant and tends to favor generalizations; dismissing the growing gap as a consequence of lack of knowledge and bad health behaviors of the poor.<sup>1</sup> These explanations fail to recognize the unique struggle of the poor and the wider cultural and social context shaping their decisions.<sup>8</sup> Lastly, in the discourse of predisposing factors (i.e., genetic, environmental), the authors find that the agency in the decision to initiate smoking is minimized. Instead, we contend that the significance of smoking initiation should be understood as a multi-level phenomenon which includes the active decision to initiate smoking.<sup>25</sup>

Narrative framework invites one to understand events across time and in a way that gives meaning to the storied experience.<sup>26</sup> "Thus, an understanding of the broader cultural narratives within which personal stories are told helps one interpret those stories, understand the nature of personal conflicts, and consider how they may be resolved by taking into account the broader cultural context of any difficulties."26 On a social level, narratives provide insights into cultural and societal rules regarding people's role and place in society.26 They draw from this cultural context to develop a shared understanding of experience that is influenced by wider power relations.<sup>27</sup> On a personal level, narratives acknowledge the agency involved in forming and telling stories. It opens the opportunity to bridge personal and social forms of knowledge, locating their personal agency in the wider context of cultural influence.25

#### Methods

This study utilized a narrative framework, with interviews as the primary strategy for data collection, and core story creation and emplotment as the strategy for data analysis.<sup>28-30</sup> For qualitative research,

information is collected until the point of theoretical saturation.<sup>31</sup> The participants have been set to reflect the target audience of anti-smoking campaigns for the youth which are largely held in public schools. Inclusion criteria consisted of participants who started smoking before the age of 18 and previously attended a public school for primary and secondary education. Due to concerns with obtaining consent from parents who may be unaware of their children's smoking, the exclusion criteria were individuals who were under 18 years old. The participants came from Doña Imelda community in Quezon City for feasibility and their status as an adopted community of UERMMMCI. Purposive sampling was used to recruit participants who fit our criteria.

As researchers, they acknowledged that intrinsic to qualitative studies is the epistemological stand to minimize the gap in the researcher-participant relationship.<sup>32</sup> This was done by creating a "feeling of empathy for informants" which is a non-threatening environment achieved by an "unstructured, informal, anti-authoritative, and non-hierarchical atmosphere" that minimizes the power hierarchy intrinsic to the relationship<sup>32</sup> Following this, unstructured interviews were the primary strategy for data collection. A 'single question aimed inducing narrative' or 'SQUIN' as proposed by Wengraf was used to encourage the formation of narratives by the participant.<sup>33</sup> Written and oral informed consent was obtained before the interview to audio record the session. The interview was held in the preferred language of participants so as not to lose the depth of articulation of their experience in translation. For participants belonging to the low socioeconomic status group, additional measures were taken to address their potentially vulnerable position. A trusted and known person from the community was present during the interview to minimize feelings of coercion during the interview process.

Data analysis was done using core story creation and 'emplotment' as theorized by Polkinghorne, Mishler, and Embden while also drawing insights from the step-by-step analysis laid out by Petty.<sup>28-30,34</sup> The researchers transcribed audio recordings of the interviews verbatim in order to gain familiarity with the text. The transcribed narratives were reconstructed into core stories by removing unnecessary or confusing content and reorganizing events in the shortest form possible while still keeping meaning.<sup>27</sup> Emplotment was done as a process of meaning making; weaving together a single plot from multiple stories that capture meaningful events in relation to the theme that reveals their significance.<sup>27</sup> Drawing from the work of Petty, thematic analysis was done on the final plot created to generate "key themes for learning".<sup>29</sup> These themes represent the educational value of the story and can be applied to create person-centered health campaigns that "places human experience at the heart of care."<sup>29</sup> In order to exercise validity in qualitative research, the authors used methods such as inter-rater coding, paper trail, and reflexivity. Personal bias is intrinsic to the researcher and could not be fully eliminated, rather, it was managed and used to add perspective to the interpretation of narratives. Managing reflexivity was practiced by keeping an individual diary throughout the research process that tracked decisions, thoughts, and feelings during the research in order to locate their own biases in conducting the research.<sup>30</sup> Interrater coding was done and a paper trail was kept documenting the research process (i.e., transcript, timeline, changes). Lastly, the researchers were able to return to four of the participants to share the core stories and insights from the results and confirmed that it resonated with their personal experience.

#### **Results**

In order to answer the research question "What is the significance of smoking initiation in the lived experience of underage Filipino public school children embedded in the stories of residents of Brgy. Doña Imelda?", core story and emplotment were employed on all 6 interviews to establish an overarching narrative of "the story of putting meaning into time". A recurring theme across all interviews is the term *nanlilibang*. *Panglibang* is usually directly translated as entertainment, however it can be better understood as "to occupy time". Here, the authors see that the story of underage smoking initiation in residents of Doña Imelda is a story of finding meaningful ways to occupy time. From this overarching narrative, three key themes for learning emerged.

On Culture: Time is best when shared. Majority of the interviews revolved around the experience of the *barkada* at that stage of their lives. Most of the participants remember smoking initiation occurring in the midst of enjoyment with friends, often accompanied by drinking alcohol, and passing time in each other's company. Here, time is constructed as meaningful when it is shared with others. Paninigarilyo, pag iinom nung 15 years old ako sa mga barkada... Iniisip ko lang noon masarap lang ang buhay barkada, pareparehas ang bisyo.

In this context the authors understand the significance of the "*barkada*" in how they choose to spend their time. The *barkada* is perceived as meaningful because it reflects the values they prioritize—relationships and inclusivity. With this construction of time, smoking initiation occured when it was seen as an avenue for relations. For example, as "Cynthia" recalled the feeling of first wanting to try smoking, she determined that part of what convinced her to start was the feeling of jealousy over her friends bonding over smoking and wanting to be a part of it.

Parang nagkukumpol-kumpol sila dun tapos umuusok. Pag di ka naninigarilyo, tapos pupunta ka sa kanila ang pangit mas lalong ikaw yung tatamaan. Nag-try na rin ako, humingi lang ako sa kasama ko... parang nainggit na ako sa kasama ko kasi silang lahat naninigarilyo. Tapos (mas naging close) pag umiinom habang nagiinuman naninigarilyo, parang masaya lang.

Here the authors see that smoking became meaningful when it is presented as a communal activity that fostered inclusivity into a group or community. Smoking was perceived as something that could be shared between friends, family, and people passing by on the street. This experience does not fit into the western narrative of rebellion where underage smoking is used to distance oneself from the norm. Instead, it was moving towards inclusivity in a community where underage smoking was seen as normal. In this context, it is not that underage smoking was expected but it was accepted.

May pagka-depressed area yung lugar namin dun kaya parang normal na lang yung mga kabataan na nagyoyosi. Karamihan sa lalaki nagyoyosi naki-uso lang ako.

Kasi nung nakita ako magyosi ng tatay ko nagagalit siya sa akin... Hanggang sa hindi na niya ako macontrol. Minsan siya pa bumibili sakin ng sigarilyo kahit wala po akong trabaho.

On Power: Seeking solace from struggles of daily living. At a young age "Rudy" was faced with his parents' separation and abandonment by his father. This forced him to start working to help support his family. He recalled distracting himself from thoughts about his life and future by spending his time on vices and getting into trouble.

Wala akong tatay noon. Kami-kami na lang. Walang nagpapalaki samin, walang nagtatrabaho, nanay ko lang. Pag masyadong matindi ang panahon, natuto narin ako magsimulang magtrabaho sa construction, yun yung umedad na ako mga 16-17. Parang mas gusto ko pang makibisyo ako sa mga barkada, di ko na inintindi yung kinabukasan. Wala na akong inintindi. Dahil sa barkada na yan madalas akong ma-barangay.

Here, time was constructed as meaningful when it provided solace from thoughts of the struggles of day to day living. With this construction of time, smoking initiation occured when it was seen as a way to fill time with pleasure. When smoking was presented by the community as an enjoyable activity, it became a meaningful way to fill their time. For example, "Toti" recalled that merely seeing people enjoying while smoking was enough to spark his curiosity even without personally knowing or interacting with the smokers.

Para bang nakakalanghap lang ako ng usok "parang ang sarap yata nun." Tsaka ang iba nakikita ko mapormang-maporma pag nagyoyosi naeengganyo tuloy ako parang tingin ko sa kanila sarap na sarap sila. Lalo na kapag may liligawang babae.

Smoking became a distractor from the struggles of day to day living or a tool that helped make thinking of the stressors more bearable.

Ginagawa ko naman nasa bundok ako nagpupunta dun sa gulayan nagtatago ako para magyosi. Iniisip ko doon ang nangyari sa buhay ko, yung lagi akong pinapalo ng lola ko, wala yung magulang ko. Kasi sakin ang dahilan naman parang mawawala na yung lungkot at stress sa magulang ko, iyon ang nililibangan ko. Lalo nung nagpunta ako sa Maynila hinahanap ko magulang ko rito, di ko makita-kita. Tatay ko lang nakita ko. Noon, nung nagkaharap-harap kaming dalawa habang naguusap, yosi nang yosi. Syempre sinisisi ko yung tatay ko ba't di ko nakita nanay ko. Panay ang yosi kaya lumakas nang lumakas ang aking pagyoyosi.

This experience is neglected in past research and health programs that approach smoking in low SES communities as solely the result of lack of education and poor health practices (i.e., box warnings, awareness campaigns). Instead, the authors saw that smoking initiation occured in spite of efforts towards health education because the persisting stressors associated with poverty (i.e., broken families, finances) continued to exist and served as a driving factor for smoking initiation.

Agency: Resisting oppressive structures. "Melvin" recalled that the first time he tried smoking was due to his uncles; it was a negative experience and he vowed never to smoke again. However, when he was later

presented with an opportunity to initiate smoking independent of external demands the experience became significant and enjoyable.

Maliit pa ako (12y/o), yung mga uncle ko inutusan ako "bumili ka ng yosi, sindihan mo na (para sa amin), (pero) huwag mong gagayahin yan ha." Ayoko sana bumili ng yosi noon (pero) ginawa ko rin kasi papaluin ako kapag di ko gagawin. Iniisip ko naman titikman ko yung pinapautos mo sakin, di mo naman sisindihan lang yun, sipsipin mo na... Nung una hindi ko nagustuhan, pag inutusan ako lagi kong ayaw... Nagsimula ako sa bisyo nung 17 na ako. Iniisip ko naman yung kasamahan at kalaro ko kasi nagyoyosi rin. Bakit ano ba yang yosi na yan? Kaya sabi ko subukan ko nga rin. Ako lang mag-isa yung bumili, kasi may iniwan sa aking pambili ng bigas. Yung kalahati pinambili ko ng yosi.

Here we saw that activities that imposed on the sense of free will such as being ordered around and threatened with punishment was not perceived as a meaningful use of time. Instead, the participant asserted his agency by resisting his uncle's attempt to control his actions by trying the forbidden cigarette. Agency related to "people's ability to make strategic life choices in a situation where this ability was previously denied to them".<sup>35</sup> The authors saw that in his subsequent trial of smoking, the full freedom to exercise his agency in the decision to smoke made the experience meaningful. Here, time was constructed as meaningful when it was used to exert agency.

In previous studies on smoking and addiction, agency was lost in the discourse of risk factors and environmental influence. Health campaigns that only focus on addressing the predisposing factors to smoking suggest that the poor are passive recipients of influence who act without awareness of their actions or consequences. However, this study shows that 1) smokers asserted their agentic role in initiation which can occur with full awareness of the action and its consequences, and 2) smoking initiation occured when it was presented as a form of resistance to social structures that were perceived as oppressive. For example, "Cynthia" remembers that as a child there was a disconnect between being told that smoking was harmful and seeing the majority of the people in her community risking the dangers of smoking. This fueled curiosity and desire to decide for herself whether smoking was beneficial to her.

Walang nagsabi sakin, gusto ko lang talaga. Gusto kong malaman kung ano ang pakiramdam. Yun nga kasi sa mga nakikita ko nga na nakapaligid. Bakit naninigarilyo sila? Di ba masama yun? Lalo na sa kalusugan? May mga nakikita rin akong mga doktor na naninigarilyo dati. Bat sila naninigarilyo kung alam nilang masama sa katawan? Yun yung naging question ng utak ko. Edi triny ko din.

The authors saw here that smoking became meaningful when it was perceived as an avenue to exert one's agency. This experience did not fit into the narrative of peer pressure that is common in previous studies of smoking initiation. Peer pressure exerts that smoking initiation occurs as a result of persuasion from peers contrary to the desire of the agent. However, all the participants asserted their active role in smoking initiation. Even those that initiated in the barkada setting did not claim to feel forced but instead, actively sought out their first cigarette.

Nag-try na rin ako, humingi lang ako sa kasama ko. Hindi naman ako nahilo o naubo, Natuto ako agad kasi nga, sabi ng ibang tao 'pag gusto mo, madaling matutunan.

#### Discussion

This discussion grounds the results of the research in the evaluation past anti-smoking campaigns in the Philippines. This section focuses on three existing campaigns: No-Smoking Month, Sin Tax Law, and the Nationwide Smoking Ban in relation to the three key learning themes.

In 2018, the Department of Education was ordered to observe the annual "No-smoking Month" celebration.<sup>38</sup> This partnership with the DOH focuses on enjoining public elementary and secondary schools nationwide to undertake educational and advocacy campaigns to raise awareness through creative endeavors, lectures, seminars, and projects involving the participation of students. However, in order to create significant and lasting effects towards prevention of smoking initiation, it is not enough to hold standardized workshops nationwide which may not be relatable to children from different backgrounds. Instead, consultation should be made with stakeholders in the community, most especially the children on their experience with smoking initiation.

In 2012, RA 10351 or the Sin Tax Law was enacted based on the recommendation of the Department of Health and the Department of Finance.<sup>39</sup> It advocated

increased taxes on tobacco products and alcoholic beverages to curb consumption and ultimately reduce the incidence of illness. This law was modeled after the WHO recommendation and similar policies in other countries. However, unlike most developed countries, smoking in the setting of low SES communities in the Philippines is embedded in the larger problem of poverty reduction. The sole problem is not the cheap cost of cigarettes but the stressors associated with poverty. Neglecting the unique situation of the poor can cause more oppression when prices of cigarettes rise but their state of poverty does not change. Instead of eliminating smoking, the burden of smoking becomes greater because they are not effectively deterred from smoking and instead end up spending more of their money on cigarettes. Even after the enactment of the Sin Tax Law, more than one-fifth of Filipino adults still used tobacco daily and youth smoking rates remained worryingly high.<sup>37</sup> In order to effectively reduce smoking initiation, more strides have to be made towards poverty reduction to address the feelings of the need for escape from thoughts of daily living.

In 2017, the Nationwide Smoking Ban took effect which prohibited smoking in public spaces.<sup>40</sup> These types of social denormalization strategies foster a 'social transformation that appears to involve the active stigmatization of smokers'.<sup>37</sup> Stigmatization, coupled with sudden strict enforcement of the smoking ban and the lack of designated smoking areas, can lead to the perception of the law as an oppressive structure. Neglecting to acknowledge the agency in smoking may lead to more resistance with smokers finding discreet areas to evade authorities, continuing of smoking in private properties, or resulting to e-cigarette use. Campaigns should avoid stigmatizing smokers and instead encourage and respect the smokers' freedom to willfully choose for themselves to quit or refrain from smoking initiation.

Despite many efforts by the government towards decreasing the rate of smoking initiation, it still remains to be a significant problem among the Filipino youth. Government policies and programs should be sensitive to the unique context of low SES communities by meaningful consultation with community members, most especially the youth themselves. In the case of the residents of Barangay Doña Imelda, smoking initiation occured when it became a meaningful way to occupy time. With this construction of time, the authors believe an approach such as instituting government sponsored after-school programs (i.e., sports, art) can address the desire for relationships, pleasure, and agency in an avenue that avoids resorting to smoking initiation. This type of program, although not directly an intervention for smoking initiation is not a reactive process but instead, a proactive process that targets the root cause of the problem by providing alternatives for meaningful activities accessible to public school children.

`This study does not include what particular activities would be effective in sustaining the youth's interest. It is recommended that another context sensitive study be made to determine what activities could be proposed as after-school programs in public schools. This study is also specific to residents of Brgy. Doña Imelda and does not claim to be generalizable to the entire Filipino youth. Lastly, this study tackles prevention of smoking initiation and therefore insights on smoking cessation is beyond the scope of this study. Since there is also a lack of contextual research on smoking cessation, the authors recommend that context sensitive studies be made on cessation in order to address current smokers who continue to influence the succeeding generations to initiate smoking.

#### Declaration

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# Correlation of family function and the quality of life of young adults with chronically-ill siblings

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#### Abstract

**Introduction** Chronically-ill patients are known to pose an impact on the family function (FF) and quality of life (QoL) of their family caregivers and pediatric siblings. However, there is limited literature on the relationship between FF and QoL. This study aimed to determine the correlation of FF and QoL among healthy young adults with siblings with chronic diseases.

*Methods* This was an analytical cross-sectional study among young adults, selected by purposive sampling, with chronically-ill siblings. The CAPGAR and WHOQOL-BREF questionnaires were used for data collection. Spearman's correlation coefficient r was used to determine the correlation between FF and QoL.

**Results** More than half (53.9%) of the respondents had highly functional families. Majority of the participants (66.5%) had fair QoL. There was a weak but significant positive correlation (r = 0.27, p < 0.001) between FF and QoL.

**Conclusion** There is a weak but significant positive correlation between family function and quality of life among healthy young adults with a sibling suffering from a chronic debilitating illness.

Keywords: Sibling, chronically-ill, family function, quality of life

The presence of a chronically-ill family member may result in emotional burdens and stress which greatly impacts not just the family caregiver's quality of life, but also the siblings'.<sup>1-6</sup> Recent studies have shown the impact of chronically-ill patients on their siblings, as the healthy children may feel "forgotten" by their parents - contributing to an increased risk of depression and anxiety.<sup>2,5,7</sup> The effects of chronically-ill patients on their siblings may pose great psychosocial risks and physical health strain due to the close influence that they have on each other.<sup>6,8</sup>

Family function is an essential factor which affects the quality of life of an individual. To date, there has been limited research investigating the correlation of the family function (FF) and quality of life (QoL) among healthy young adults with chronically-ill siblings. The objective of this study was to determine the correlation of FF and the QoL among healthy young adults with siblings suffering from chronic debilitating diseases. The research may provide data to serve as a basis in formulating policies to protect and develop sensitivity for healthy young adults with a chronically-ill sibling and increase awareness of the possible problems among them. The relationship covers the young adult's perception of himself, dynamics with his sibling with chronic disease, parents, peers, and other people in the society;

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relevant research can provide information to families with chronically-ill members, researchers, and medical professionals on the aspects of the young adult's life which may need intervention.

#### Methods

This analytical cross-sectional study was conducted after being approved by the Ethics Review Committee of UERMMMCI. It included healthy young adults aged 18 to 35 years, who had siblings clinically-diagnosed with a debilitating noncommunicable disease necessitating close monitoring and supervision. Participants and the chronically-ill sibling should have been living together for at least a year, with the latter residing or receiving treatment and care in a health facility within Quezon City. Participants were included if they were literate and provided written informed consent. Individuals who were unable to communicate, currently diagnosed with, or had a history of neurologic and psychiatric illness, currently treated with medications that might affect concentration, and those with adverse habits and drug abuse were excluded.

Purposive sampling was used to screen eligible participants who were sourced from barangays and health care service institutions in Quezon City. The area was chosen because this is where the most number of chronically-ill persons undergo therapy due to the presence of more advanced treatment facilities.<sup>9</sup> The sample size of 341 respondents was computed based on the study of Rodriguez-Sanchez which showed that  $32.3 \pm 5\%$  of caregivers had low quality of life, 0.05 significance and 99.99% power.<sup>10</sup> An additional 20% were recruited as allowance for respondents with incomplete responses.

Participants answered either the Filipino or English version of the Comprehensive Family APGAR (CAPGAR) and WHO Quality of Life-BREF (WHOQOL-BREF) questionnaires in their primary care settings or in their homes following permission of a primary health facility or the assistance of focal barangay persons, respectively. The CAPGAR and WHOQOL-BREF questionnaires are generic instruments whose validity and reliability have been tested by the WHO and various researchers and the UP Philippine General Hospital's Department of Family and Community Medicine to be used as a standardized tool to assess family function (FF) and quality of life (QoL), respectively.<sup>9,11,12</sup> The data collection tool also included a section for respondents' demographics and characteristics.

The 15-item CAPGAR Scale was adapted from the Family APGAR questionnaire which is used to determine the function of the family and the ability to endure and adapt to the situation, based on adaptation, partnership, growth, affectation, and resolve.<sup>11,13,14</sup> The CAPGAR includes three subscales representing three domains: flexibility (6 items), cohesion (6 items), and communication (6 items). It also has five items similar to those of the original Family APGAR.<sup>11</sup> This was scored as follows: 0-6, highly dysfunctional; 7-12, moderately dysfunctional; 13-18, functionally at risk; 19-24, moderately functional; and 25-30, highly functional.<sup>14</sup> The CAPGAR questionnaire was created to provide a valid and reliable measure of family function among Filipinos.

The World Health Organization Quality of Life-BREF Instruments (WHOQOL-BREF), an abbreviated form of the WHOQOL-100, was used to assess the QoL profiles of the respondents.<sup>12</sup> The WHOQOL-BREF can be self-administered if the respondent has adequate knowledge, or interview-assisted if deemed necessary. The 26-item questionnaire includes overall QoL and general health (two items), plus 24 other items grouped under four domains: physical health (7), psychological (6), social relationships (3), and environment (8). The tool uses a five-point scale with 1 being the lowest and 5 being the highest.<sup>12</sup> The items were constructed in a Likert scale with five balanced responses such as very poor/ dissatisfied (1), poor/dissatisfied (2), fair (3), good/ satisfied (4), and very good/very satisfied (5). The raw total domain QoL score was arranged from poor (26-60), fair (61-95), and good (96-130), following the categorization method employed by Dajpratham.<sup>15</sup> The raw scores were transformed to make them comparable to the WHOOOL-100.12 The domain scores in the WHOQOL-BREF questionnaire were scaled in a positive direction: the higher the score, the higher the QoL. This also denoted the perception of an individual in a particular domain and aided in the correlation of the mean total transformed domain score against the total CAPGAR scores.

The CAPGAR score was correlated with the transformed WHOQOL-BREF score and tested using Spearman's correlation coefficient rho (r). This was

computed using SPSS Statistics 24.0 Software, with a p value of  $\leq 0.05$  set as significant. A scatter plot was produced to visualize the direction of the correlation. The processing of scatter plot and the organization and computation of demographic data were done using Microsoft Excel.

#### Results

A total of 409 individuals were recruited but 33 were excluded because of illness or non-completion of at least 80% of the WHOQOL-BREF questionnaire, leaving 376 respondents for analysis. Majority of the participants were female, with a mean age of 26 years; a third of them belonged to the 25-29-year-old bracket, as shown in Table 1. Half of the participants completed tertiary education. Most of them were in common-law relationships. More than half of the participants had no employment or regular activity, and the identified financial source of expenses was savings or salary in more than half of the respondents. The rest relied on family, spouse and children. Around 95% of the respondents denied any illness, while the rest assumed or felt that they had an undiagnosed disease.

Overall, half of the participants' siblings had neuropsychiatric problems (53.2%), followed by other major organ diseases (29.3%), cancer (10.1%), and PWD (7.4%), as shown in Table 1. Participants whose siblings had intellectual disability (22.1%) described the latter's condition as being or having any of the following: special child, Down syndrome, autism, global late development, and mental retardation; central nervous system disorders were seizures, epilepsy, dementia, stroke, hydrocephalus, meningitis, or cerebral palsy. Some respondents had siblings with a learning disability (i.e., hearing, visual, and speech impairment); other siblings had a psychiatric disorder (i.e., bipolar disorder, schizophrenia, clinical depression). Among the cardiovascular diseases, patent ductus arteriosus, patent foramen ovale and hypertension were mentioned. Endocrine diseases included goiter, hyperlipidemia, and diabetes; malignancies included leukemia, prostate and breast cancer. Physical disability was present as a congenital condition or a bilateral lower extremity amputation. Most of the participants (53.99%, 95% CI 48.90, 58.99%) had highly functional FF. Less than 5% had dysfunctional FF, as seen in Table 2. The untransformed (raw) and transformed mean scores of QoL and its domains are presented in Table 3. The overall QoL of the participants was  $69.56 \pm 8.79$ . Among the

**Table 1.** Demographic characteristics and profile of participants (N = 376).

Variables		Participants, n (%)
Sex	Male	141 (37.5)
	Female	235 (62.5)
Age (years) mean $\pm$ SD	15-35	$26.0 \pm 4.82$
11ge (jears), mean = 0D	15-19	39(104)
	20-24	115 (30.6)
	25_29	121 (32 2)
	30.34	84 (22.2)
	≥ 35	17 (4.5)
Education	None	3 (0.8)
	Primary	15 (4.0)
	Secondary	145 (38.6)
	Tertiary	191 (50.8)
	Vocational	20 (5.3)
	Postgraduate	2 (0.5)
Marital status	Single	50 (13 3)
iviaillai status	Married	76 (20.2)
	Common law spouse	241(64.1)
	Separated	7 (1 0)
	Widowod	7(1.9)
	widowed	2 (0.3)
Employment/regular	Yes	167 (44.4)
activity	No	209 (55.6)
Financial source for	Savings/salary	212 (56.4)
expenses	Family	105 (27.9)
enpeneee	Spouse	49 (13 0)
	Children	2 (0 5)
	Not specified	7(1.9)
	None	1 (0.3)
D (111		
Presence of illness	No Not diagnosed but fo	359 (95.5)
	Not diagnosed but ie	eis
	like they have	17 (4.5)
Disease of the	Cardiovascular	46 (12.2)
respondent's sibling	Lung	8 (2.1)
	Kidney	13 (3.5)
	Endocrine	42 (11.2)
	Gastrointestinal	1 (0.3)
	CNS disorder	54 (14.4)
	Intellectual	
	disability	83 (22.1)
	Learning disability	43 (11.4)
	Psychiatric disorder	20 (5.3)
	Cancer	38 (10.1)
	PWD	28 (7.4)

transformed mean scores, social relationships had the highest score, followed in decreasing order by psychological health, environment, and physical health. Scores for perceived QoL and perceived health state were 3.55 and 3.58, respectively. As shown on Table 4, all except three respondents had a fair to good quality of life.

Family function was shown to have a significant positive correlation with overall quality of life ( $r_s = 0.27$ , p < 0.001), the physical domain ( $r_s = 0.11$ , p = 0.032), psychological health domain ( $r_s = 0.27$ , p < 0.001), social relationships domain ( $r_s = 0.24$ , p < 0.001), and environment domain ( $r_s = 0.24$ , p < 0.001). The correlation coefficients ranged from 0.11 to 0.27, considered as weak positive correlation.<sup>16</sup>

Table 2. Family function classification, frequency distribution.

Family Function Classification <sup>a</sup>	Frequency n = 376 (%)	95% CI (%)
Highly dysfunctional	1 (0.27)	0.04, 1.88
Moderately dysfunctional	13 (3.46)	2.01, 5.88
Functionally at risk	46 (12.23)	9.28, 15.97
Moderately functional	113 (30.05)	25.61, 34.90
Highly functional	203 (53.99)	48.90, 58.99

<sup>a</sup>Raw scores were classified as highly dysfunctional (0 - 6), moderately dysfunctional (7 - 12), functionally at risk (13 - 18), moderately functional (19 - 24), and highly functional (25 - 30) as proposed by Powazki & Walsh.<sup>14</sup>

The scatterplot demonstrated that as the FF of young adults increased, their QoL also increased (Figure 1).

Table 4. Quality of life classification, frequency distribution.

Quality of life classification <sup>c</sup>	Frequency n = 376, (%)	95% CI (%)
Poor	3 (0.80)	0.26, 2.46
Fair	250 (66.49)	61.54, 71.10
Good	123 (32.71)	28.14, 37.64

<sup>c</sup>Raw scores were classified as poor (26 - 60), fair (61 - 95), and good (96 - 130) quality of life according to the categorization of Dajpratham, Tantiniramai, & Lukkanapichonchut.<sup>15</sup>

 Table 3.
 Quality of life (QoL) mean scores, untransformed and transformed.

Dimensions of Quality of Life	Untransformed Scores Mean ± SD	Transformed Scores⁵ Mean ± SD
Overall quality of life Physical health Psychological health Social relationships Environment	$\begin{array}{c} 3.48 \pm 0.44 \\ 3.28 \pm 0.47 \\ 3.59 \pm 0.51 \\ 3.77 \pm 0.66 \\ 3.43 \pm 0.62 \end{array}$	$69.56 \pm 8.79  65.52 \pm 9.48  71.90 \pm 10.10  75.48 \pm 13.21  68.66 \pm 12.38$
Perceived quality of life Perceived health state	$3.55 \pm 0.87$ $3.58 \pm 0.78$	$71.17 \pm 17.43$ $71.60 \pm 15.58$

<sup>b</sup>Scores were transformed using the standard scoring mechanics for the WHOQoL-BREF raw scores by multiplying each domain by 20.<sup>12</sup>



Figure 1. Scatter plot of CAPGAR (x-axis) and transformed domain total of WHOQOL-BREF Score (y-axis).

#### Discussion

Family function is an essential factor affecting the quality of life of an individual. The objective of this study was to determine the correlation of FF and the QoL among healthy young adults with siblings with chronic diseases.

Sharpe found that adults who have chronically-ill siblings appear to be more compassionate and resilient; he also indicated the possible negative effects on the psychological and emotional life of those individuals with a chronically-ill sibling.<sup>5</sup> The present study shows that most young adults have fair QoL, where psychological and social indicators scored highest. This proportion of participants is consistent with the findings of Almeida-Brasil who explained that in this stage of life, a functional peak of networks of relationships are established, with much time devoted on friendship.<sup>17</sup>

The physical health domain, which includes activities of daily living, dependence on medicinal substances and medical aids, enough energy and mobility, pain and discomfort, sleep and rest, and work capacity, had the least correlation with family function ( $r_s = 0.11$ , p = 0.032). These factors play a vital role in a person's functionality, and could affect an individual's QoL. The low correlation of physical health can be attributed to the participants' age and medical status, being young adults with no comorbidities or illnesses. The respondents may tend to ignore their physical complaints, as there may be a sense of omnipotence when caring for others, as shown by Ribe.<sup>18</sup> Hence, the physical domain is less likely to have strong correlation with family function.

Among the other components of the WHO-QoL-BREF, the psychological domain has the highest correlation (r = 0.27, p < 0.001) with FF. Rossiter and Sharpe concluded that having a brother or sister with disability has a negative effect on psychological functioning, caretaking, and peer activities but it has a positive effect on the quality of the sibling relationship.<sup>5</sup> Other studies also show that family closeness, greater sensitivity and personal growth are some of the positive effects of having a sibling with disability. Moreover, Patterson mentions that coping which is directed at keeping the family integrated - by doing things together as a family - maintains a good outlook in life, thus corresponding to having a good family function.<sup>19</sup> Filipino culture, deeply rooted in its family-centered attitude, is a potential factor to consider in determining function, especially when it comes to care and support for loved ones. The use of CAPGAR questionnaire in this study looked into the functional state of the patient's family because chronic illness and disability represent a certain stress to the family, as in the study of Smilkstein.<sup>20</sup>

Majority of the participants in this research have highly functional families. Panganiban cited that close family ties and close-knit communities influence FF.<sup>21</sup> Friends and neighbors alike are ready to help the family in times of crisis. This observation is consistent with the findings of Love and Murdock, who observed that attachment (operationalized as paternal and maternal care) was a significant factor for the wellbeing of a young adult, and that membership in an intact, biological family is associated with higher levels of psychological well-being.<sup>22</sup>

It is possible that siblings of persons with chronic illnesses may still have a positive outlook and good QoL because they accept and understand the need of their sibling. Menguito and Teng-Calleja discussed "*bahala na*" as a reflection of the Filipino's courage, optimism, and hope which serve as springboards towards success.<sup>23</sup> Though perhaps taken as a form of surrender on one hand, the expression "*bahala na*" implies confidence and motivation to keep facing whatever may come.

Questions 28 and 29 of the Filipino version of WHOQoL-BREF allow the participants to share their thoughts in improving their QoL through these questions: "Anu-ano ang mga bagay na makakadagdag ng kalidad sa inyong buhay?" and "Paano sa inyong palagay, maitataas ang kalidad ng inyong buhay?" Most participants said that QoL can be improved through education and opportunities to attain financial growth. This way, they can support their families better, making them happier with a sense of accomplishment. Aside from personal means to attain financial growth, the participants also count on the government's assistance in lowering prices of commodities, better support for persons with disability (PWDs), and even better public transportation to lighten traffic. These answers fall under the environment domain, which has the second lowest correlation to FF. Other answers include quality time and communication within families, which further highlights the importance Filipinos give towards their family function. Economy and socialization may remain at the core of what it means to be a family, as mentioned earlier. Roces calls this core "politica de familia" and claims that Filipinos "perceive the world in terms of how outside resources could be used to improve the status of the family in socio-economic terms."<sup>24</sup> Filipinos, in spite of inherent challenges, have a "primary allegiance" to the family - often viewing the self as an extension of it, and holding the family's wellbeing to be more important than one's own.<sup>24</sup>

In summary, this study shows that among young adults with chronically-ill siblings, family function is high and correlates with quality of life. A limitation of this study is the study population came from certain barangays, hospitals, and institutions which approved the request for data collection. Several hospitals and non-government organizations declined the invitation to participate, citing the Data Privacy Act.

Future studies can be done using qualitative methods to explore the relationship between family function and quality of life in more depth. One-on-one interviews or focus group discussions may provide better understanding of the feelings, challenges and experiences of the chronically ill individual's siblings which may complement the WHOQOL BREF and CAPGAR findings. Future studies can also have participants from a younger population starting from 14 years of age, as the teenage years are crucial in holistic development and any significant findings in this age group would thus need support from the family and society. Further studies may likewise perform separate analyses per category of disease to identify more precisely which diseases have the greatest impact on the quality of life and family function.

This study showed that despite having chronicallyill siblings, more than half of young adults had highly functional families. Two-thirds of the participants had fair quality of life. There is a weak but significant positive correlation between family function and quality of life among young adults with a sibling suffering from a chronic debilitating illness.

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#### **Conflict of interest**

The authors declare no conflict of interest.

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## A study on the knowledge, attitude and behavior regarding mental health of residents in a selected barangay

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#### Abstract

**Introduction** Stigmatizing attitudes are barriers to treatment of mental health disorders. The burden of stigma has not been established locally. This study aimed to assess the stigma in the community by determining the knowledge, attitudes and behaviors of barangay residents towards mental health and persons with mental health illness.

**Methods** A total of 422 participants were included using convenience sampling. Participants were given self-administered questionnaires that consisted of the Mental Health Knowledge Schedule (MAKS), Community Attitudes Towards the Mentally III (CAMI), and Reported and Intended Behavior Scale (RIBS) tools. The mean scores and percentages were computed and compared across the sociodemographic data of the respondents.

**Results** Knowledge levels were relatively high with a mean score of 26.63. Depression, stress, bipolar disorder and drug addiction were recognized as mental illnesses by the majority of the participants. Scores in the stigmatizing ideologies authoritarianism (3.07) and social restrictiveness (2.58) were low, while the positive ideologies benevolence (3.76) and community health ideology (3.85) had higher scores. Participants were reluctant to work with mentally-ill people (3.18) but were willing to be friends with them (3.87).

**Conclusion** This study concludes that the respondents were generally knowledgeable about mental health illness. There was a general acceptance and less stigmatizing attitude, and a willingness to interact with people with mental illness.

Keywords: Mental health, stigma, mental illness, behavior, psychiatry

In 2016, the global burden of mental health disorders reached around 15.5%, affecting 1.1 billion people.<sup>1</sup> Lifetime prevalence may reach up to 36% among those

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affected.<sup>2</sup> Over the years, the burden has increased further, equivalent to 13.0% of disability-adjusted life years (DALYs) and 21.5% years lost to disability (YLD).<sup>3</sup> Persons with mental disorders are 60% more likely to die prematurely from non-communicable diseases. It is estimated that around 14.6% or 8 million deaths worldwide are attributed to mental disorders.<sup>4</sup> Unfortunately, mentally-ill people have to face the stigma against mental health illnesses which may mark them as outcasts; as a consequence, around 70% fail to receive treatment.<sup>5,6</sup>

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Stigma has been conceptualized to consist of three facets: knowledge, attitudes and behavior.<sup>7</sup> It is one of the main barriers in providing services to persons with mental illness. Stigma marks persons with mental illness, making the community and health workers look at them with low regard.<sup>8</sup> Studies suggest that factors that lead to decreased health-seeking behavior by the persons with mental illness include lack of knowledge, ignorance of accessibility of treatment, prejudice, and discrimination.<sup>5</sup> It is also established that stigmatizing attitudes have been associated with reluctance to seek help.<sup>6</sup>

The prevalence of mental disorders in the Philippines is 13%, with anxiety disorder being the most common.<sup>1</sup> The President signed into law RA 11036, the Philippine Mental Health Law, in June 2018.9 Although there are studies that support the effectiveness and feasibility of primary health care for the mentally-ill in the Philippines, there has been no real nationwide integration.<sup>7,10</sup> There are 19 community-based psychiatric inpatient units, or 1.58 beds per 100,000 population.7 Stigma persists in the Philippines, but its burden has not been established. According to the Department of Health, the lack of programs contributes to the persistence of stigma.<sup>11,12</sup> This study aimed to determine the knowledge, attitude and behavior of respondents towards mental health and mental health illness.

#### Methods

This is a descriptive cross-sectional study using the Mental Health Knowledge Schedule (MAKS), Community Attitudes Towards the Mentally III (CAMI), and Reported and Intended Behavior Scale (RIBS) questionnaires to measure the level of knowledge, attitude, and behavior towards mental health and mental illness, respectively, among residents of Barangay Tibagan in San Juan City. A sample size of 422 respondents was computed using the formula to estimate a population parameter for measuring prevalence. Residents of Barangay Tibagan who were 18 to 65 years old, mentally capable, and could read and write were invited and selected by logistical convenience sampling. Those who had incompletely answered the questionnaires were excluded. Those who gave their informed consent were asked to answer the three questionnaires which were previously translated in Filipino.

Questionnaires measuring the knowledge, attitude, and behavior of the respondents were based on published research utilizing KAB as a measurement for mental health and mental illness.<sup>5</sup> The Mental Health Knowledge Schedule (MAKS) was designed to assess the mental health-related knowledge of a population, to determine the trend in changing levels of mental health knowledge, and to track stigma-related mental health knowledge.<sup>13-17</sup> The MAKS consisted of six stigma-related mental health knowledge categories: help-seeking, recognition, support, employment, treatment, and recovery; and six mental illness condition recognition categories, including depression, stress, schizophrenia, bipolar disorder, and grief. The questionnaire's reliability for the study was moderate to substantial at 0.71 (Lin's concordance statistic) while the internal consistency was moderate at 0.65 (Cronbach's alpha).

A modified version of the Community Attitudes Toward the Mentally Ill (CAMI), consisting of 26 items, was used to measure the attitude of the respondent on mental distress.<sup>18</sup> The questionnaire was divided into four subscales: 1) authoritarianism (AU), viewing a person with mental illness as someone inferior and requiring supervision; 2) benevolence (BE), a humanistic and sympathetic view towards persons with mental illness; 3) social restrictiveness (SR), the belief that persons with mental illness were a threat to society and should be avoided; and 4) community mental health ideology (CMHI), the acceptance of mental health services and the integration of persons with mental illness in the community. The questionnaire's reliability index for each subscale measured using Cronbach's alpha was AU = 0.68, BE = 0.76, SR = 0.80, CMHI =  $0.88^{18-21}$  CAMI was analyzed by obtaining the mean score using the Likert 5-point labeled scale: 5 strongly agree (SA); 4 agree (A); 3 neutral (N); 2 disagree (D); and 1 strongly disagree (SD).

The Reported and Intended Behavior Scale (RIBS) was used to assess the behavioral discrimination of a population towards mental illness. It is an 8-item questionnaire from the Star Social Distance Scale dividing the behavior of the population into their current and potential behavior which is depicted in four different contexts: 1) living with, 2) working with, 3) living nearby, and 4) continuing relationships with mentally-ill individuals. The questionnaire's reliability for the study was moderate to substantial, 0.75 (Lin's concordance statistic)

while the internal consistency was moderate, 0.85 (Cronbach's alpha).

The study utilized Cronbach's alpha in assessing the internal consistency in the three questionnaires. Mean scores were obtained from each questionnaire which was then compared internally and externally. For the analysis of MAKS, each item was scored using the Likert Scale to obtain the total mean score. A comparison of mean scores was performed across different prior studies.<sup>14-17,22</sup> The mean scores of the target population were compared to the mean scores of community samples, health care worker samples, and health care professional samples from other countries studied by other researchers. For the analysis of CAMI, the items were also scored using the Likert scale and the total mean score was analyzed based on the four subscales: authoritarianism, benevolence, social restrictiveness, and community mental health ideology. Each subset was analyzed by the respondent's stand as being pro- or anti-subscale where a high-score in authoritarianism and social restrictiveness denoted a profound stigma and a high score in benevolence and community mental health ideology indicated a minor stigma and acceptance of the mentally ill.<sup>18,22-,24</sup> For analysis of RIBS, the mean percentage of responses was computed for Part A while the Likert scale mean score was computed for Part B. The mean scores and percentages were computed and compared across the sociodemographic data of the respondents.

#### Results

The study consisted of 422 participants with mean age of 37.6 years; 70% were 25 to 59 years old and more than half were women. As seen in Table 1, 75% of respondents were at least a high school graduate and 60% were employed or engaged in business. The Community Attitudes Toward the Mentally III (CAMI) and Reported and Intended Behavior Scale (RIBS) had a Cronbach's alpha of 0.755 and 0.754, respectively. The Mental Health Knowledge Schedule (MAKS) had a Cronbach's alpha of 0.695, which is comparable to the original study of Evans-Lacko who obtained a Cronbach's alpha of 0.69-0.71.<sup>21</sup>

For the first part of MAKS, 80 to 90% of respondents agreed to statements on helping persons with mental health problems seek help, that treatment can be effective, that they need support and wish to be employed, and that they can recover from their Table 1. Socio-demographic characteristics of 422 respondents.

Variable	n (%)
Sex	
Male	180 (42.6)
Female	242 (57.4)
Age (years)	
18-24	93 (22.0)
25-39	145 (34.4)
40-59	150 (35.5)
60-65	34 (8.1)
Occupation	
Unemployed	134 (31.8)
Employed	216 (51.2)
Businessman	40 (9.5)
Student	32 (7.6)
Education	
No formal education	15 (3.6)
Elementary	24 (5.7)
High School	157 (37.2)
College	186 (44.1)
Postgraduate	40 (9.5)

illness. The mean MAKS score for this part was 26.63 out of a possible 30 points. College graduates had the highest mean score at 26.58; mean scores were noted to increase from elementary to college. There was no difference in mean scores between sexes and across age groups. As shown in Table 2, depression was recognized as a form of mental illness by 75% of respondents. More than half recognized stress, schizophrenia, bipolar disorder and drug addiction as mental health illnesses. Forty percent of respondents saw grief as a mental health problem and less than half said that it is not a mental health problem. Forty percent of respondents did not know whether schizophrenia is a mental health problem and a third did not know whether bipolar disorder is a mental health problem.

Benevolence and community mental health ideology (CMHI), the positive attitudes towards mental health illness had relatively higher mean scores (3.76 and 3.85, respectively), compared with the subscales denoting negative attitudes (authoritarianism 3.07 and social restrictiveness 2.58), as seen in Table 3. The same pattern was noted in both sexes and across age groups and levels of education. The men had higher mean scores in authoritarianism (3.13 vs 3.03) and social restrictiveness (2.65 vs 2.52); the scores for Knowledge, attitude and behavior regarding mental health of residents in a selected barangay

benevolence (3.77 vs 3.75) and CMHI (3.91 vs 3.98) were similar between sexes. Starting in early adulthood (25-39 years) benevolence and CMHI scores decreased with increasing age while SR scores increased with increasing trend in mean scores with higher levels of education, while a decreasing trends with higher levels of education was noted for authoritarianism and SR as seen in Table 3.

The Reported and Intended Behavior Scale (RIBS) revealed that participants had a low prevalence of contact with people with mental health problems at home (20.9%), at work (16.1%), and as a neighbor (42.2%); however, more than half (56.2%) of the participants had a close friend with a mental health problem. More than half of respondents were willing to live with (53.1%) or have a neighbor (55.1%) with

mental health issues. Less than half (48.1%) were willing to work with such a person and 70.9% were willing to continue the relationship with a friend who developed a mental health problem. Respondents who were 25 to 39 years old had the highest RIBS mean score (14.2). A decrease in mean scores was noted with increasing age: 13.3 for 40-59 years and 12.2 for 60-65 years. There was no difference in the mean scores between sexes and there was no discernible pattern across levels of education.

#### Discussion

The findings that 80 to 90% of respondents answered affirmatively to the Mental Health Knowledge Schedule (MAKS) questions and the

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MAKS Part II, n (%)	Mental illness	Not a mental illness	I don't know
1. Depression	320 (75.8)	73 (17.3)	29 (6.9)
2. Stress	243 (57.6)	152 (36)	27 (6.4)
3. Schizophrenia	212 (50.2)	42 (10)	168 (39.8)
4. Bipolar disorder	247 (58.5)	51 (12.1)	124 (29.4)
5. Drug addiction	236 (55.9)	148 (35.1)	38 (9)
6. Grief	168 (39.8)	199 (47.2)	55 (13)

Table 3. Mean CAMI subscale scores and mean scores based on sex, age and educational attainment.

Variable	AU	BE	SR	CMHI
Mean subscale score	3.07	3.76	2.58	3.85
Sex				
Male	3.13	3.77	2.65	3.91
Female	3.03	3.75	2.52	3.98
Age group (years)				
18 - 24	3.05	3.79	2.43	3.92
25 - 39	3.03	3.83	2.47	4.05
40 - 59	3.12	3.71	2.72	3.90
60 - 65	3.06	3.61	2.81	3.84
Educational level				
No formal education	3.22	3.65	2.17	4.15
Elementary	3.13	3.34	3.00	3.49
High school	3.10	3.72	2.66	3.89
College	3.06	3.85	2.43	4.09
Postgraduate	2.91	3.85	2.62	3.87

AU - authoritarianism, BE - benevolence, SR - social restrictiveness, CMHI - community mental health ideology

mean score of 26.63 indicate a high level of mental health and stigama-related knowledge. The results are consistent with those of previous studies.<sup>5-9,11,14</sup> Previous studies found that age and educational attainment are factors affecting the MAKS score while another study noted minimal differences in MAKS scores relative to educational attainment.<sup>10,11,25,26</sup> The results suggest that age and educational attainment, but not sex may have an effect on MAKS scores which is consistent with other researches.<sup>25,27</sup>

Depression was the most commonly recognized condition as a mental health disorder. Stress, schizophrenia, bipolar disorder and drug addiction, but not grief, were also regarded as mental health conditions. The results are similar to those of other studies.<sup>28,29</sup> Henderson noted that stress may be perceived as a symptom rather than a condition.<sup>5</sup> This may explain why 152 respondents said that stress is not a mental health illness. The finding that 40% of respondents did not know whether schizophrenia is a mental disorder is similar to findings in other Asian countries where the recognition of the condition is low.<sup>6-8</sup> That 30% of respondents did not know that bipolar disorder is a mental health condition is lower than a sample from England.<sup>11,22</sup> More than a third of respondents did not consider drug addiction as a mental health condition. Abdullah postulates that these respondents believe that the persons choose to be addicted to substances rather perceive that there is a dysfunction in the mechanism of pleasure seeking and reward in the brains of those with addiction problems.<sup>30</sup> Less than half of respondents recognized grief as a mental health problem; they may perceive grief as a normal and temporary state.<sup>18</sup>

The males had a high mean score compared with the females, similar to the findings of Aznar-Lou.<sup>31</sup> The respondents belonging to the 40 to 59-year-age group had higher authoritarian scores compared with the other age brackets. Letovancova posits that this may be due to fear and lack of trust in persons with mental illness.<sup>32</sup> Evans-Lacko found that the older generation tended to have more fear and lack of trust on people with mental illness, leading to a higher stigmatization.<sup>33</sup> Song found a strong association between increasing age and authoritarianism.<sup>34</sup> The data showed an inverse relationship between an individual's educational level and authoritarianism score, similar to the findings in several other studies where lower levels of education was associated with a more negative attitude towards persons with mental illness.<sup>20,35,36</sup>

Social restrictiveness (SR) received the lowest mean score among the subscales, indicating that participants were unlikely to view the mentally ill as a threat to society. Women had lower scores consistent with the findings in Singaporean women.<sup>37</sup> Various studies had different findings regarding age and social restrictiveness, with several associating higher SR scores with increasing age and those which found no relation.<sup>38-41</sup> The results of this study show that SR score increased with age. Park attributed this to lesser exposure of older people to persons with mental illness and differences in outlook across generations.<sup>41</sup> The findings showed that SR scores decreased with an increasing level of education. These results are in contrast with those from Ethiopia, in which well-educated individuals had higher SR scores.<sup>35,42</sup>

The higher benevolence scores among men compared with women indicate that the males were more accepting and encouraging for people with mental illnesses. The respondents below 60 years had higher scores, with those 25 to 39 years having the highest scores. Some studies found no relationship between benevolence and educational attainment while others concluded that benevolence comes with higher educational attainment.<sup>20,31,36</sup> The results show increasing benevolence scores with higher levels of education.

Community mental health ideology (CMHI) had the highest mean score among the four subscales. Women had higher scores than men, similar to other sutdies.<sup>37,43</sup> Respondents aged 25 to 39 years had the highest mean score; the scores decreased with increasing age after 39 years.The results are similar to those of other investigators.<sup>33,39,44,45</sup> The findings, similar to those of Reta, revealed increasing scores with higher educational attainment.<sup>35</sup>

The high mean scores for benevolence and community mental health ideology (CMHI) coupled with lower scores for authoritarianism and social restrictiveness (SR) reflect the respondents' positive and less stigmatizing attitude towards persons with mental health disorders. This study concludes that the respondents were generally knowledgeable about mental health illness. There was a general acceptance and less stigmatizing attitude, and a willingness to interact with people with mental illness.

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#### **Conflict of interest declaration**

The authors certify that they have NO affiliations with or involvement in any organization or entity with any financial or non-financial interest in the subject matter or materials discussed in this manuscript. No connections, agreements, or sponsorships were made with the authors of the references used in the research.

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### Correlation between incidence of dengue and climatic factors in the Philippines: An ecological study

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#### Abstract

*Introduction* Dengue continues to be a major health concern in the Philippines. This study aimed to establish trends and correlations between the incidence of dengue and rainfall, humidity and temperature, respectively, in the different regions.

**Methods** Using 2018 records obtained from DOH and PAGASA, correlations were made between monthly measurements of climatic factors and the incidence of dengue using Pearson's r, while maps and interpolations were generated using quantum geographical information system software.

**Results** There was a significant positive but weak correlation between the incidence of dengue and rainfall (r = 0.379, 95% CI 0.255, 0.491; p < 0.001) and humidity (r = 0.215, 95% CI 0.080, 0.342; p = 0.002). There was a significant negative but weak correlation between the incidence of dengue and temperature (r = -0.145, 95% CI -0.277, -0.008; p = 0.039). A strong positive correlation was noted between the incidence of dengue, and rainfall and humidity, respectively, in several regions. Multiple regression indicates that rainfall, humidity and temperature are poor predictors of the incidence of dengue ( $R^2$  = 0.1436, 0.0461 and 0.0209, respectively).

**Conclusion** This study showed overall a significant but weak correlation between an increased incidence of dengue and heavy rainfalls and high relative humidity, and a weak negative correlation for temperature. A high positive correlation of an increased incidence of dengue and heavy rainfalls and high relative humidity was observed in several regions.

Keywords: Dengue, rainfall, temperature, humidity, correlation

Dengue is a major public health concern mainly in tropical and subtropical parts of the world with two-fifths of the world's population at risk to its clinical manifestations and lethal complications. Reports indicate that there are about 50-100 million reported cases of this disease every year.<sup>1</sup> The Philippines as a tropical country has a high incidence of dengue. Recent studies have shown that climatic factors such as temperature, humidity, and rainfall influence the distribution of the incidence of dengue.<sup>2</sup> Despite the growing concern for the disease, there

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have been limited local studies on the relationship between the number of dengue cases and these climatic factors.<sup>3</sup> Majority of the international studies have concluded that these climatic factors are related with the dengue case incidence while a significant minority have suggested otherwise.<sup>4-6</sup>

To contribute to the growing area of research on dengue, the researchers used the Quantum Geographic Information System (QGIS) as an innovative tool to develop alternative means in conducting epidemiological studies, to advance the knowledge and understanding among high-risk communities, and to encourage further promotion and implementation of laws and healthcare programs to raise awareness for dengue.7 This is an analytic time-trend type of ecological study because the study is not only looking into dengue incidence over time in the different regions but it is also the ecological association between climatic changes over time and dengue incidence across the regions. This study aimed to establish a correlation between the incidence of dengue cases and the predisposing climatic factors observed across the regions.

The specific objectives of the study were to determine recorded monthly and annual climatic data per region and to determine recorded monthly and annual number of dengue cases in. The study then aimed to determine the correlation between these variables through linear regression. In addition, the study also aimed to create a geographical map using the QGIS software to illustrate patterns of correlation among the variables at a regional level of assessment.

#### Methods

This was an analytical time-trend type ecological study that determined relationship between the number of dengue cases and climatic factors temperature, relative humidity and average rainfall in the 17 regions of the country in 2018. The number of dengue cases per region was obtained from the Department of Health (DOH) database.<sup>10</sup> Information on the annual and monthly climatic readings were obtained from 51 out of 58 Surface Synoptic Stations of Philippine Atmospheric, Geophysical and Astronomical Services Administration (PAGASA).

The incidence of dengue was defined as the number of individual cases per 100,000 population,

as recorded in the DOH database.<sup>10</sup> All the data on dengue cases retrieved from the DOH database were included in this study, including the regional and provincial data records of dengue cases and deaths. Data was available for all 17 regions. The climatic factors considered were temperature (°C), relative humidity (%) and average rainfall  $(mm/m^2)$ . Information about the annual and monthly climatic readings were provided by the active stations of PAGASA. Among the 58 Surface Synoptic Stations (SYNOP) of PAGASA, 54 stations (93.1%) were active in 2018; the inactive stations were excluded.<sup>9</sup> Three more stations were excluded due to missing readings for either temperature or humidity. All 17 regions of the country were included in this study. The SYNOP is a representative station located in certain cities per region where all meteorological elements are measured at fixed observation times: collated data are submitted to the PAGASA Central Office.<sup>11</sup> The climatic records used in this study were from the PAGASA Central Office.

IBM SPSS Statistics software was utilized for statistical analyses with regards to the correlation between incidence of dengue and climatic factors. Pearson's correlation coefficient was used to measure the strength of association among variables, and the value of r determined the direction of the correlation. Results were interpreted as positively correlated if the value was in the positive range or towards +1; no relationship, if the value was 0; and negatively correlated if the value was in the negative range or towards -1. Multiple regression was used to assess the effectiveness of all climatic factors as predictors for the incidence of dengue cases. The Quantum Geographic Information System (QGIS) was used to create representative maps to provide information on correlations between the incidence of dengue and the climatic factors as a form of geospatial analysis. In order to visually appreciate possible correlations, an increasing magnitude of the independent variables were assigned with representative patterns using a 4 x 4 legend with two-dimensional axes (e.g., Figure 12).

This study was approved by the Ethics Review Committee of the UERMMMCI Research Institute for Health Sciences. Permission was obtained from the DOH and PAGASA for the retrieval and use of the data on dengue and climatic factors, respectively, in accordance with the Data Privacy Act.<sup>12</sup>

#### Results

Figure 1 shows that the Ilocos (Region I) and Cagayan (Region II) provinces, the Cordillera Autonomous Region (CAR) and MIMAROPA (Region IV-B) had the highest incidence of dengue in Luzon. Central Visayas (Region VII) and Northern Mindanao (Region X) had the highest incidence in the rest of the country. The Autonomous Region in Muslim Mindanao (ARMM) and the Bicol provinces (Region V) had the lowest incidence of dengue in the country. Figure 2 shows that the incidence of dengue was highest during the second half of the year. The peak for the Northern Luzon provinces (Region I, II and CAR) was July to October while the Zamboanga provinces and Northern Mindanao had a bimodal peak.

As shown in Figure 3, there was a wide variation in rainfall in the different regions. In 2018, the Ilocos provinces (Region I) had the highest mean rainfall at 956.72 mm/m<sup>2</sup> followed by CAR (815.39 mm/m<sup>2</sup>) with a peak in August. Several regions had high levels of rainfall from June to September. Eastern



Figure 1. Regional incidence of dengue per 100,000 population



Figure 2. Monthly incidence of dengue in the Philippines by region.

Visayas (Region VIII) and the Bicol area (Region V) had their peak rainfall around December-January (768.33 and 696.44 mm/m<sup>2</sup>, respectively). The mean relative humidity ranged from 60.5 to 88.7% and was highest in August, as shown in Figure 4.

Region XII SOCCSKARGEN and ARMM had the lowest humidity. The mean temperature range was 24.4–29.8°C with a peak in May as seen in Figure 5. January was the coldest month of the year.



Figure 3. Monthly total rainfall (mm/m<sup>2</sup>) in the Philippines by region.



Figure 4. Monthly relative humidity (%) in the Philippines by region.



**Figure 5.** Monthly mean temperature (°C) in the Philippines by region.

As shown in Figure 6, the incidence of dengue paralleled the amount of rainfall for the previous month: an increase in rainfall in May was accompanied by an increase in dengue cases in June. As the rainfall increased from April to July, the incidence of dengue also increased from May to August. A similar pattern was observed for dengue and humidity, as seen in Figure 7, but not for dengue and temperature (Figure 8). Statistical analysis revealed a significant positive but weak correlation between the incidence of dengue and rainfall (r = 0.379, 95% CI 0.255, 0.491; p < 0.001) and humidity (r = 0.215, 95% CI 0.080, 0.342; p = 0.002). There was a significant negative but weak correlation between the incidence of dengue and temperature (r = -0.145, 95% CI -0.277, -0.008; p = 0.039) as seen in Table 1. A strong positive correlation was noted between the incidence of dengue, and rainfall and humidity, respectively, in the Northern Luzon regions, ARMM and Region IV-B. Multiple regression as shown in Figures 9 to 11 indicates that rainfall, humidity and temperature are poor predictors of the incidence of dengue  $(R^2 = 0.1436, 0.0461 \text{ and } 0.0209, \text{ respectively}).$ 

Figure 12 depicts the incidence rate of dengue and rainfall through bivariate analysis in map form for July and March. The maps provide a representation of the degree of relationship between the compared variables. The pattern-legend indicated on each figure can be used as a guide to understand the magnitudes between dengue incidence and rainfall, simultaneously. The varying patterns arranged from left to right represent an increasing incidence of dengue while the patterns from top to bottom represent an increasing mean rainfall. The map for July shows the highest rainfall and incidence of dengue in the western part of Luzon, MIMAROPA, Western Visayas and Northern Mindanao.



**Figure 6.** Mean incidence of dengue (black) vs rainfall (gray) by month (x-axis); left-hand y-axis is incidence per 100,000 population; right-hand y-axis is rainfall (mm/m<sup>2</sup>)





**Figure 7.** Mean incidence of dengue (black) vs humidity (gray) by month (x-axis); left-hand y-axis is incidence per 100,000 population; right-hand y-axis is relative humidity (%)



**Figure 8.** Mean incidence of dengue (black) vs temperature (gray) by month (x-axis); left-hand y-axis is incidence per 100,000 population; right-hand y-axis is temperature (oC)

Table 1	. Correlation	of incidence	of dengue	and clim	natic factor	s by region.
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Region	Rainfall	Humidity	Temperature
Aggregate	0.379, 95% CI 0.255, 0.492, p < 0.001	0.215, 95% CI 0.080, 0.342, p = 0.002	-0.145, 95% CI -0.277, -0.008, p = 0.039
ARMM	0.753, 95% CI 0.315, 0.927, p = 0.005	-0.574, 95% CI -0.863, 0.000, p = 0.051	-0.094, 95% CI -0.634, 0.507, p = 0.772
CAR	0.730, 95% CI 0.269, 0.919, p = 0.007	0.733, 95% CI 0.275, 0.920, p = 0.007	0.120,95% CI -0.487, 0.649, p = 0.709
NCR	0.246, 95% CI -0.382, 0.718, p = 0.441	0.406, 95% CI -0.219, 0.795, p = 0.191	-0.280, 95% CI -0.736, 0.350, p = 0.378
Region I	0.900, 95% CI 0.674, 0.972, p < 0.001	0.830, 95% CI 0.489, 0.951, p = 0.001	-0.098, 95% CI -0.636, 0.504, p = 0.762
Region II	0.570, 95% CI -0.006, 0.862, p = 0.053	0.500, 95% CI -0.104, 0.834, p = 0.098	0.462, 95% CI -0.152, 0.819, p = 0.462
Region III	0.683, 95% CI 0.179, 0.903, p = 0.014	0.687, 95% CI 0.187, 0.904, p = 0.014	-0.319, 95% CI -0.755, 0.312, p = 0.311
Region IV-A	0.113, 95% CI -0.493, 0.645, p = 0.726	0.346, 95% CI -0.284, 0.768, p = 0.271	-0.193, 95% CI -0.690, 0.428, p = 0.547
Region IV-B	0.718, 95% CI 0.245, 0.915, p = 0.009	0.691, 95% CI 0.194, 0.906, p = 0.013	-0.119, 95% CI -0.649, 0.488, p = 0.713
Region V	0.286, 95% CI -0.344, 0.739, p = 0.367	0.188, 95% CI -0.433, 0.688, p = 0.559	-0.032, 95% CI -0.552, 0.595, p = 0.922
Region VI	0.314, 95% CI -0.317, 0.752, p = 0.321	0.104, 95% CI -0.500, 0.640, p = 0.749	0.271, 95% CI -0.359, 0.731, p = 0.394
Region VII	-0.001, 95% CI -0.573, 0.575, p = 0.997	-0.014, 95% CI -0.564, 0.583, p = 0.965	-0.005, 95% CI -0.571, 0.577, p = 0.987
Region VIII	-0.196, 95% CI -0.692, 0.426, p = 0.542	-0.272, 95% CI -0.732, 0.358, p = 0.392	0.237, 95% CI -0.390, 0.714, p = 0.458
Region IX	0.069, 95% CI -0.526, 0.618, p = 0.831	-0.203, 95% CI -0.696, 0.420, p = 0.527	0.064, 95% CI -0.529, 0.615, p = 0.843
Region X	0.000, 95% CI -0.574, 0.574, p = 0.999	-0.054, 95% CI -0.609, 0.537, p = 0.869	0.240, 95% CI -0.387, 0.715, p = 0.453
Region XI	0.009, 95% CI -0.567, 0.580, p = 0.979	-0.222, 95% CI -0.706, 0.405, p = 0.488	0.100, 95% CI -0.503, 0.736, p = 0.757
Region XII	-0.495, 95% CI -0.832, 0.110, p = 0.102	-0.057, 95% CI -0.611, 0.534, p = 0.859	-0.265, 95% CI -0.728, 0.364, p = 0.405
Region XIII	-0.785, 95% CI -0.937, -0.384, p = 0.002	-0.895, 95% CI -0.970, -0.660, p < 0.001	0.230, 95% CI -0.396, 0.710, p = 0.472



**Figure 9.** Correlation of incidence of dengue per 100,000 population and rainfall (mm/m<sup>2</sup>)



**Figure 10.** Correlation of incidence of dengue per 100,000 population and relative humidity (%)



Figure 11. Correlation of incidence of dengue per 100,000 population with temperature ( $^{\circ}$ C)

#### Discussion

The incidence of dengue—being a mosquitoborne disease —is expected to be dependent on the life cycle of its vector, Aedes. As previously mentioned, rainfall, humidity, and temperature are expected to contribute to the progression of its life cycle. This study observed an increasing incidence of dengue cases during the typical rainy season in the Philippines (June to September) but not from October to December. The results showed a weak correlation between the incidence of dengue with heavy rainfall and high relative humidity, respectively. However,



Figure 12. QGIS map showing rainfall and incidence of dengue in the different regions in July (left) and March (right)

the negative correlation between the incidence of dengue and temperature is not in accordance with most studies in Southeast Asia which reported that a higher temperature resulted in an increased population growth of Aedes aegypti and the transmission of the dengue virus.<sup>14</sup> A possible explanation for the findings is that both mosquito vectors of Aedes aegypti and Aedes albopictus are found here in the Philippines with the former breeding in both indoors and outdoors, and the latter breeding mostly in outdoors and is more adaptable to changes in temperature.<sup>15</sup> Hence, it may be that some regions may have more of Aedes albopictus resulting in the less significant impact of temperature on the incidence of dengue compared with the regions having less of the vector. However, no available literature has been published on which specific regions in the Philippines have Aedes albopictus as the more predominant specie and hence, such assumptions are difficult to ascertain. It is also possible that the recorded range of temperature in this study may have had no effect on the vectors as this may be the ideal functioning range in terms of *Aedes albopictus*' biology.

The results are consistent with studies which observed that heavy rainfall led to an increased transmission of dengue virus as it created abundant outdoor breeding places, but are not in consonance with studies concluding that heavy rainfall led to reduced survival of the mosquito, translating into a lower incidence of dengue.<sup>16,17</sup> In addition, the results showed a lag period between the increased rainfall and higher relative humidity, and dengue incidence, where the increase in rainfall and humidity preceded the rise in incidence (Figures 6 & 7). This may be attributed to the lag phase of the vectors' growth cycle after the appearance of a favorable breeding environment. This might also explain a weaker correlation than expected with dengue incidence versus the climatic factors on a monthly basis.

This study showed strong correlation of the increased incidence of dengue with heavy rainfall and higher relative humidity, respectively, in Regions I, III, IV-B and CAR, and a strong negative correlation in Region XIII. The different finding in Region XIII is difficult to ascertain given only the information of its climatic factors. As mentioned, negative correlations for humidity and rainfall were noted in parts of the Visayas and Mindanao, indicating that there may be a common factor in these regions that may explain the data. It may be helpful to see the correlations of the same variables in previous years to determine if

a similar trend will be observed as the present study was limited to a one-year observation period.

The maps produced from QGIS mapping software provided a visual representation of the correlations observed allowing an instant visual identification and demarcation of regions at risk of having a high incidence of dengue cases. There were several limitations in this study such as the number of active PAGASA stations per province and the dengue serotype classifications. Confounding factors such as population density, topographical differences, health policies and education among the regions may have resulted in current findings. Differences in the biology and perhaps even adaptations of the mosquito-vectors may have altered the expected results, specifically for temperature. Given the seasonal variation in the incidence of dengue, a time-series analysis rather than multiple regression would have shown a more precise relationship between dengue and the climatic factors studied

This study showed that overall, there is a significant but weak positive correlation between an increased incidence of dengue, and heavy rainfall and high relative humidity, respectively, and a weak negative correlation for temperature. Strong positive correlations for both heavy rainfall and higher relative humidity were observed in Regions I, III, IV-B, and CAR, and a weak negative correlation was observed in Region XIII. Rainfall, humidity and temperature are poor predictors of dengue. The reader is advised to exercise caution in interpreting the results in this study so as to not to commit the fallacy of ecological interference, given that the aggregation of dengue incidence and climatic factors at a regional level would result in the loss or concealment of certain details of information which can only be observed at the provincial or city/municipality level.

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#### Aim and Scope

The UERMMMCI Health Sciences Journal is a peer-reviewed journal published twice a year by the University of the East Ramon Magsaysay Memorial Medical Center Research Institute for Health Sciences. It publishes original articles, reviews, systematic reviews, meta-analyses, case reports and editorials written by the faculty, trainees, students and personnel of the Medical Center.

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#### Introduction

This should contain a summary of the rationale and objectives of the study and provide an outline of pertinent background material. It should not contain either results or conclusions.

#### Methods

This should adequately describe the study design, population, selection process, randomization, blinding, study procedures, data collected, and statistical methods used in data analysis.

#### Results

This should be presented in logical sequence in the text, tables, and figures, avoiding repetitive presentation of the same data. Measurements should be in International System (SI) units. This section should not include material appropriately belonging to the discussion. Results must be statistically analyzed when appropriate.

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#### References

It is preferred that references and intext citations be in the National Library of Medicine (Vancouver) format, however, authors may choose to use the American Psychological Association (Harvard) format. The format selected by the authors should be used consistently throughout the manuscript.

References in the NLM/Vancouver style cited in the text shall be written as Arabic numerals in superscript at the end of the sentence in the order in which they appear in the text. Use the format in the Recommendations for the Conduct, Reporting, Editing, and Publication of Scholarly Work in Medical Journals (updated 2019) which is available at www.icmje.org. Titles of journals should be abbreviated in the reference list according to the style used in Index Medicus. Electronic references should include the date that the material was accessed. Unpublished observations and personal communications may not be used as references. Examples of the correct manner of listing references in the NLM/Vancouver format are illustrated below:

#### Standard journal article

(List all authors when six or less; when seven or more, list only the first three then add "et al".)

Francis D, Hadler SC, Thompson S, et al. The prevention of hepatitis B with vaccine: Report of the Centers for Disease Control multi-center efficacy trial among homosexual men. Ann Intern Med 1982; 97: 362-6.

Krugman S, Overby LR, Mushahwar IK, et al. Viral hepatitis type B: studies on the natural history and prevention reexamined. N Engl J Med 1979; 300: 101-6. Nyland LJ, Grimmer KA. Is undergraduate physiotherapy study a risk factor for low back pain? A prevalence study of LBP in physiotherapy students. Retrieved from: http://www.Biomed-central.com/1471-2474/4/22.2003. [Accessed August 27, 2011].

Rankin J, Tennant PW, Stothard KJ, et al. Maternal body mass index and congenital anomaly risk: A cohort study. Int J Obes 2010; 34(9): 1371-80. Available from: http://ncbi. nlm.nih.gov/pubmed/20368710. [Accessed August 27, 2011].

#### Books and other monographs

#### Personal authors

Adams RD, Victor M. Principles of Neurology. New York: McGraw-Hill; 1981.

#### Chapter in a book

Corbett S. Systemic Response to Injury and Metabolic Support. In: Brunicardi FC (editor). Schwartz's Principles of Surgery. 10th ed. New York: McGraw-Hill; 2015: 13-50.

#### **Tables and figures**

These should be submitted as a **separate Word or Excel file** (NOT AN IMAGE FILE), numbered with Arabic numerals and accompanied by a title and an explanatory caption at the top. Each table must be referred to in the text and an indication of the preferred position in the text should be given. Other explanatory materials should be placed in footnotes below the tables. All non-standard abbreviations should be explained in the footnotes. Vertical and horizontal rules between entries should be omitted. Each figure (graphs, charts, etc.) should be identified clearly and numbered in Arabic numerals and accompanied by a title and an explanatory caption at the bottom.

#### Photographs

Photographs and illustrations should be submitted as a separate image file in jpeg format with a resolution of at least 800 x 600 dpi. Each photograph or illustration should be identified as a figure and numbered in Arabic numerals and accompanied by a title and an explanatory caption at the bottom. Specific points of interest in the photograph or illustration should be marked with an arrow or encircled. When symbols, arrows, numbers, and letters are used to identify parts of illustrations, each one should be identified and explained in the legend. Photographs of persons must be retouched to make the subject unidentifiable when possible and be accompanied by written permission from the subject to use the photograph.

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